Duplicate #18/CPA *M.E.* *1-4.01*

CONTINUED PROSECUTION APPLICATION (CPA)
REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.

(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable:
☐ DUPLICATE

Address to:

Assistant Commissioner for Patents
Box CPA
Washington, DC 20231

Attorney Docket No. of Prior Application	P1560
First Named Inventor	Dan Kikinis
Examiner Name	K. Dinh
Group Art Unit	2155
Express Mail Label No.	EL657626685US

This is a request for a ☑ continuation or ☐ divisional application under 37 CFR 1.53(d),

(continued prosecution application (CPA)) of prior application number ____ 09/073,019 _____ .

filed on____05/04/1998____, entitled_ Tailoring Data and Transmission Protocol for Efficient Interactive Data Transactions Over Wide-Area Networks

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such [application] [under] 37 CFR 1.78(a).

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1. ☐ Enter the unentered amendment previously filed on _____
   under 37 CFR 1.116 in the prior nonprovisional application.
2. ☑ A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
   a. ☐ *DELETE* the following inventor(s) named in the prior nonprovisional application:

   ....
   ....

   b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. Information Disclosure Statement (IDS) is enclosed:
   a. ☐ PTO-1449
   b. ☐ Copies of IDS Citations

[Page 1 of 2]

Adjustment date: 01/10/2002 REASON
01/10/2002 REASON 00000001 500534 09073019
01 FC:279 370.00

01/10/2002 REASON
01 FC:279 370.00 CH

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(a) or (j))	37 -20* =	17	x $ 18.00 =	$ 306.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (h))	11 -3** =	8	x $ 84.00 =	672.00
	Petition for extention of time & Terminal Disclaimer MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ $ _____ =	220.00 0.00
				BASIC FEE (37 CFR 1.16)	740.00
			Total of above Calculations =		1,938.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.27).				969.00
	* Reissue claims in excess of 20 and over original patent. ** Reissue independent claims over original patent.			TOTAL =	969.00

6. ☑ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. _____50-0534_____ :

   a. ☑ Fees required under 37 CFR 1.16.

   b. ☑ Fees required under 37 CFR 1.17.

   c. ☑ Fees required under 37 CFR 1.18.

8. ☑ A check including the amount of $_____969.00_____ is enclosed.
9. ☐ Payment by credit card. Form PTO-2038 is attached.
10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) for a period of _____ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.
11. ☐ New Attorney Docket Number, if desired _____
    [Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.]
12. a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)

   b. ☑ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)
13. ☑ Other: Petition for Extension of Time & Terminal Disclaimer

NOTE:	The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

14. NEW CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label   or ☐ New correspondence address below

Name	
Address	
City		State		Zip Code	
Country		Telephone		Fax	

15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print /Type)	Donald R. Boys
Signature	
Registration No. (Attorney/Agent)	35,074
Date	10/18/2001

[Page 2 of 2]

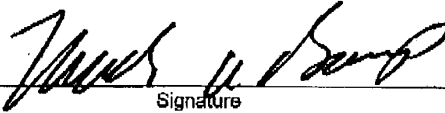|		
In re:	Dan Kikinis	
Case:	P1560 Application No.: 09/073.019	Filing date: 05/04/1998
Art Unit: 2155	Examiner: K. Dinh	
Subject:	Tailoring Data and Transmission Protocol for Efficient Interactive Data Transactions Over Wide-Area Networks	

Certificate of Transmission under 37 CFR 1.8

Attention: K. Dinh, Examiner

Fax No.: (703) 746-7238

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office

on _12/19/2001_ .
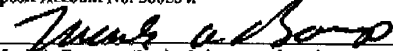   Date

_____
Signature

Mark A. Boys
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Total Sheets Transmitted - 21

1. Copy of Continued Prosecution Application Request Transmittal from the 10/18/2001 transmittal - 2 sheets
2. Copy of Duplicate Continued Prosecution Application Request Transmittal from 10/18/2001 transmittal - 2 sheets
3. Copy of Preliminary Amendment from 10/18/2001 transmittal - 12 sheets
4. Copy of Terminal Disclaimer from 10/18/2001 transmittal - 1 sheet
5. Copy of Petition for Extension of Time to Respond from 10/18/2001 transmittal - 1 sheet
6. Copy of Express Mail Label EL657626685US from 10/18/2001 transmittal - 1 sheet
7. Copy of check in the amount of $969.00 and post card from the 10/18/2001 transmittal - 1 sheet
8. Certificate of Transmission (current transmission) - 1 sheet

The following sheets are copies of the originally filed documents for Continued Prosecution Application Request Transmittal for application no. 09/073,019 filed 10/18/2001. We are refiling the previously filed documents as they have not been received by the USPTO due to the mail stoppage to the standard address for patents. Authorization is hereby given to deduct any fees necessary from Deposit Account No. 500534.

_____
Signed Mark A. Boys - authorized signer on deposit account #500534

Please call Central Coast Patent Agency, Inc. at (831) 726-1457 if there are any further requirements.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit 2155
Examiner Khanh Dinh

In Re:	Dan Kikinis
Case:	P1560
Serial No.:	09/073,019
Filed:	May 04, 1998
Subject:	Tailoring Data and Transmission Protocol for Efficient Interactive Data Transactions Over Wide-Area Networks

To the Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir,

Preliminary Amendment

All standing claims are reproduced below as an aid in prosecution. No amendments are made.

1. In a WEB browsing system, a method for minimizing data to be transmitted to a client device from a Web server, comprising steps of:

(a) creating a listing of parameters derived from one or more of hardware and software characteristics of the client device, characteristics of a WEB page, and preferences of a customer using the client device;

(b) storing the parameters as a template at the Web server;

(c) accessing a WEB page requested by the customer;

(d) translating the WEB data according to the template; and

(e) transmitting the translated data to the client device.